CURRICULUM VITAE

I. PERSONAL DATA

- □ Name: Tonye Tony Oki
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II. EDUCATION

- Master of Business Administration (MBA) August 2023
 Colorado State University Pueblo, Colorado
- Master of Laws (LLM) Environment and Natural Resources Law & Policy May 2006
 University of Denver Sturm College of Law Denver, Colorado
- Barrister at Law (BL)
 September 2004
 Nigerian Law School
 Abuja Nigeria
- Bachelor of Laws (LLB) Honors July 2002
 Ambrose Alli University Edo State – Nigeria

III. SUMMARY OF PROFESSIONAL SKILLS AND EXPERTISE

- □ Bar Admissions
 - Attorney at Law, Texas
 - Attorney and Counselor at Law, New York
 - Attorney and Counselor at Law, Colorado
 - Barrister and Solicitor at Law, Nigeria

□ *Professional Certifications*

- Certified Information Privacy Professional / Europe, IAPP, December 2020
- Certified Mergers and Acquisitions Adviser, AM&AA, September 2016
- Energy Law & Policy Law Specialization, University of Denver, May 2006
- Chartered Institute of Arbitrators, ICSA (UK), March 2005
- Certified Chartered Mediator & Conciliator, ICMC (NG), November 2004
- Corporate Management Certification, NIM (NG), March 2003
- Corporate Governance, ICSA (UK), November 2002
- Administration of Corporate Affairs, ICSA (UK), Nov 2002

□ Summary of Professional Qualifications

Over 20 years demonstrated legal experience working with multi-disciplinary business clients in structuring, negotiating, and drafting complex sophisticated multi-lateral transactions in the energy, hydrocarbons, manufacturing, and heavy industry sectors globally. An established project management competence including recognized partnership-building abilities and an extensive experience in capacity building projects. Areas of professional expertise include contracts (transactions, drafting, and structuring), strategic corporate alliances, hydrocarbons, renewable energy, and large-scale infrastructure projects.

D Pedagogical Experience Relevant to Expertise

Over 15 years' experience lecturing graduate level law courses in specialized fields of International and Comparative Petroleum Law, and Negotiating Natural Resources Agreements, in the Environment and Natural Resources Law arena.

□ Computer Skills

Expert level proficiency in the use of the following programs -

- Windows operating system,
- Microsoft Office Suites including Excel, Word, and PowerPoint,
- Prezi presentation and other communication and collaboration software.

IV. SUMMARY OF RELEVANT WORK EXPERIENCE

- □ *Caterpillar*, *Inc*. June 2021 Present Corporate Counsel
 - Provide legal expertise and transactional support on a wide range of agreements for the company globally, including transactions involving commercial sales and supply chain, international commercial dealings, government contracts, as well as general advice and counsel regarding commercial risks and issues.
- □ University of Denver Sturm College of Law, Denver CO, USA, Oct 2008 Present Adjunct Faculty
 - Professor of International & Comparative Petroleum Law:
 - Students completing this course leave with an understanding of the basic concepts of international law relating to petroleum investment, current elements of petroleum legislation, and petroleum agreements. Students explore such aspects of petroleum law as dispute settlement and legal status. They particularly gain a basic understanding of the general approaches, policies, and agreements used to regulate the petroleum industry in key selected jurisdictions world-wide.
 - Professor of Negotiating Natural Resources Agreements:

Students completing this course leave with an understanding of the general approaches, primary issues, and motivations pertaining to the negotiation of large, internationally funded mining, energy, and hydrocarbon projects. They particularly gain a perspective on the negotiation of first-tier agreements between trans-national companies and governments, and joint venture agreements between private parties.

- □ Aspect Energy Management, Denver CO, USA, September 2019 September 2020 Assistant General Counsel
 - Reviewed a diverse range of transactional agreements, including Production Sharing Agreements, Turnkeys, and Non-Disclosure Agreement's. Monitored new legal developments that may impact the company. Collaborated with legal and compliance team members in Europe and Africa and provided legal guidance and support to the company on issues related to foreign and international anticorruption laws and regulations, and the Foreign Corrupt Practices Act.
 - Served as data protection counsel and advised on compliance with the General Data Protection Regulation (GDPR) data protection laws, and monitoring adherence to GDPR standards.
- □ *Grynberg Petroleum Company*, Denver CO, USA, March 2011 September 2019 Executive Vice President and Principal Contracts Counsel
 - Oversaw diverse multi-disciplinary business units, led a global team of in-house legal experts, support staff, and external counsel. My role encompassed providing comprehensive counsel to internal business partners on general corporate matters, large-scale infrastructure projects, and complex cross-boundary transactions. Additionally, I managed the global upstream business, and my strategic perspective and legal expertise played a pivotal role in charting the company's long-term goals, in establishing the legal framework for navigating challenges and seizing opportunities. I directed international expansion efforts, subsidiary formation, corporate governance, Mergers, Acquisitions, and Divestments.
 - Negotiated and drafted high value, complex sophisticated agreements including FEED's, EPC's and Joint Venture contracts for large-scale hydrocarbons, biofuel, infrastructure, and independent power projects globally. Worked with Project Finance and Project Management teams and ensured the continuous and sustainable improvement of the project management processes. Reviewed, negotiated, and approved all definitive agreements, including day to day commercial contracts, while engaging externally with regulators, and overseeing outside counsel.
 - Directly reported to the CEO and Board of Directors, serving as a key legal advisor and collaborator for heads of diverse business departments, encompassing Finance, Marketing, Media, IT, EHS, HR, and Engineering. Offered strategic legal counsel focusing on compliance with environmental laws and international regulations. Devised and executed strategies to navigate complex legal and administrative challenges to ensure alignment with legal standards. Continuously monitored and provided guidance on emerging legal developments that could impact the company's operations and delivered legal support and guidance on matters related to domestic, foreign, and international anti-corruption laws.
- □ *Tyche Corporation*, Denver CO, USA, December 2007 March 2011 Corporate Counsel
 - Draft and negotiate complex commercial transactions, review, third party and vendor contracts, review marketing materials to ensure compliance with laws and regulations, draft and negotiate letter of intents, NDAs, and portfolio acquisition contracts.

- Ensured that contract documents accurately reflected commercial decisions, and that they were at all times, consistent with company's legal obligations and company's policies. Examined contracts, agreements, Request for Proposals (RFPs) and Request for Quotation (RFQs) before submission.
- Interpret and advise existing laws, rules and regulations and provide guidance to the business, counseled board and advised business teams in the company with regards to sound contract provisions, standards and approaches in accordance with company's policies, principles and standards.
- Participating in crossline of business projects on a variety of subjects, coordinating appropriate legal training for internal client teams, and advised management on the company's commercial transactions.
- □ *International Criminal Tribunal for Rwanda*, Feb 2007 Dec 2007 Extern with the Chief of Evidence
 - Worked as an extern with the Chief of the Information and Evidence Section in the Office of the Prosecutor of the United Nations, International Criminal Tribunal for Rwanda, on the Rwanda genocide. The case of: The Prosecutor v. Théoneste Bagosora, and others. Case Number: ICTR-98-41-T.
- □ *Divine Oil & Gas Inc*, Denver CO, USA, August 2005 November 2007 Associate Contracts Counsel
 - Negotiated oil and gas contracts and concession applications for the company. Negotiated oil and gas leases, surface use and right of way agreements, operating agreements, vendor contracts and various other agreements. Provided legal advice on complex issues concerning the rights, obligations, and privileges of the company.
 - Assisted in the performing of corporate secretarial duties, including preparing and maintaining corporate records, draft minutes and Board of Director matters, and provided various types of support for Board of Directors meetings.
 - Reviewed, interpreted, and assisted with negotiations of contract documents to determine contractual obligations, including governmental regulations, company contracting guidelines, and other contracting requirements with necessary stakeholders. Made recommendations for wording to be used in contracts and ensured that completed contracts were properly edited and proofread.
 - Provided analysis and counseled on legal, policy, and environmental issues; and proposed new operating standards for the company. Co-manuscripted policies designed to protect the corporation, its subsidiaries, customers, and guard against additional loss of interest.
- Natural Resources Web Links, Denver CO, October 2005 July 2006
 Research Associate
 - Researched Negotiated Securities, conducted extensive research on international contract laws and easements for projects in South America, Europe and Africa. Maintained an encyclopedia of laws and regulations affecting oil & gas, mineral rights and property ownership in Africa, South America, and Europe.

- Performed research on the investment climate for hydrocarbons, minerals and other natural resources in numerous countries around the world and carried out extensive research on the web for the compilation of Natural Resources and Environmental Laws.
- Streamlined office filing system, assisted in preparation of monthly progress reports and presentation to research sponsors.
- D. D. Dodo & CO, Legal Practitioners, Abuja Nigeria, Nov 2004 August 2005 Junior Associate
 - Performed extensive documentary and evidentiary research, comprehensive document review, and analyzed laws or decisions that were made on similar cases. Collated and analyzed firm legal statistics to optimize client services, provided assistance to firm partners and senior Barristers as needed, and handled other essential tasks as assigned.
 - Assembled exhibits, affidavits and other legal documents for use in preparing for trial, prepared forms, and submitted documents to the court to make deadlines.
- □ *The High Court of the FCT*, Abuja Nigeria, February 2004 March 2004 Law Clerk
 - Shadowed Senior Advocates, Barristers, and associates in their daily court appearances. Collected, organized, and summarized a wide variety of information, materials, documents, reports and evidence.
 - Performed duties of record-keeping, clerical, and secretarial duties as required and interacted with court staff, clients, witnesses, and judges for court hearing dates. Performed extensive legal research and comprehensive document review. Summarized and shepardized cases and statutes.

V. PUBLICATIONS

- □ Oki, Tonye, Disruption of Operations; The Whiting Petroleum Corporation Case Study, Sep. 23, 2020. Available at <u>https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3754084</u>
- □ Oki, Tonye, Incoterms and Letters of Credit, (April 15, 2019). Available on Prezi: https://prezi.com/vol1nv6rduy5/?utm_campaign=share&utm_medium=copy&rc=ex0share.
- Oki, Tonye, International Regulations of Oil & Gas, Contemporary Issues in Oil & Gas, University of Denver Sturm College of Law (April 14 2016) Available on Prezi at: <u>https://prezi.com/c9yxzkoa5-ha/?utm_campaign=share&utm_medium=copy&rc=ex0share</u>.
- □ Oki, Tonye Tony, Antropogenic Environmental Degradation in the USA and Nigeria (May 3, 2006). Available at SSRN: <u>https://ssrn.com/abstract=1697641</u>
- Contributing: Wendy N. Duong, Following the Path of Oil: The Law of the Sea or Realpolitik - What Good Does Law do in the South China Sea Territorial Conflicts?, 30 Fordham Int'l L.J. 1098 (2006).

VI. LANGUAGES

□ English: (Native) Expert level proficiency.