



CCH's Federal Securities Law Reporter

This guide covers CCH's Federal Securities Law Reporter, an annotated loose-leaf service that explains and evaluates prominent securities federal laws in the United States Code (U.S.C.), rules and regulations in the Code of Federal Regulations (C.F.R.), and court decisions. Securities and Exchange Commission (S.E.C.) releases, no-action and interpretative letters are also included. All Index references are to paragraph (§) numbers. (See blue "How To Use This Publication" tab in vol. 1 for further information.) Find this service at **Level 3 KF 1068.4 .C66**.

FEDERAL LAWS

Gramm-Leach-Bliley Act of 1999	See volume 6 behind white "Related Securities Laws and Regulations" tab.
Investment Company Act of 1940	See volume 5 and 6 behind beige "Investment Companies . . ." tabs.
Investment Advisers Act of 1940	See volume 6 behind green "Investment Advisers Act" tab.
Public Utility Holding Company Act 1935	See volume 5 behind yellow "Holding Companies LAW TEXT" tab.
Sarbanes-Oxley Act of 2002	See volume 6 behind white "Related Securities Laws and Regulations" tab.
Securities Act of 1933	See volumes 1 and 2 behind beige "Securities Act . . ." tabs.
Securities Exchange Act of 1934	See volumes 2 through 5 behind beige "Exchange Act . . ." tabs.
Securities Investor Protection Act of 1970	See volume 4 behind white "SIPA Securities Investor Protection Act of 1970" tabs.
Trust Indenture Act of 1939	See volume 5 behind orange "Trust Indenture Act" and Trust Indenture FORMS" tabs.

RULES AND REGULATIONS (PROPOSED)

Citation known:	See volume 7 behind blue "Finding Lists" tab.
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Citation unknown: See CURRENT volume behind beige “Topical Index to New Developments” tab.

RULES AND REGULATIONS (OLDER)

Citation known: See volume 7 behind blue “Finding Lists” tab.

Citation unknown: See volume 1 behind red “Topical Index” tab.

DECISIONS (NEWER)

Parties known: See CURRENT volume behind beige “Case Table New Developments” tab.

Parties unknown: See CURRENT volume behind beige “Topical Index to New Developments” under subject.

DECISIONS (OLDER)

Parties known: See volume 7 behind blue “Case Table” tab.

Parties unknown: See volume 1 behind red “Topical Index” tab under subject.

S.E.C. RELEASES

Citation known: See volume 7 behind blue “Finding Lists” and green “Release Lists” tabs.

Citation unknown: See volume 1 behind red “Topical Index” tab under subject.

NO-ACTION AND INTERPRETATIVE LETTERS

Citation known: See yearly transfer binders or CURRENT volume behind beige “No-Action and Interpretative Letters” tab.

Citation unknown: See volume 1 behind red “Topical Index” tab under subject.